

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below adjacent to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of subject matter (process, machine, manufacture, or composition of matter, or an improvement thereof) which is claimed and for which a patent is sought by way of the application entitled Execution of Instructions That Lock and Unlock Computer Resources

which (check) ☒ is attached hereto.

☐ and is amended by the Preliminary Amendment attached hereto.

☐ was filed on _____ as Application Serial No. _____.

☐ and was amended on ____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

| Prior Foreign Application(s) | | | Priority Claimed | |
|------------------------------|---------|----------------------|--------------------------|--------------------------|
| Number | Country | Day/Month/Year Filed | Yes | No |
| N/A | | | <input type="checkbox"/> | <input type="checkbox"/> |

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

| Provisional Application Number | Filing Date |
|--------------------------------|-------------|
| N/A | |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information, which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

| Application Serial No. | Filing Date | Status (patented, pending, abandoned) |
|------------------------|-------------|---------------------------------------|
| N/A | | |

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

Alan H. MacPherson (24,423); Thomas S. MacDonald (17,774); Kenneth E. Leeds (30,566); Brian D. Ogonowsky (31,988); David W. Heid (25,875); Norman R. Klivans (33,003); Edward C. Kwok (33,938); David E. Steuber (25,557); Michael Shenker (34,250); Stephen A. Terrile (32,946); Peter H. Kang (40,350); Ronald J. Meetin (29,089); Ken John Koestner (33,004); Omkar K. Suryadevara (36,320); David T. Millers (37,396); Kent B. Chambers (38,839); Serge J. Hodgson (40,017); Michael P. Adams (34,763); Bernard Berman (37,279); Michael J. Halbert (40,633); Gary J. Edwards (41,008); William B. Tiffany (41,347); James E. Parsons (34,691); Daniel P. Stewart (41,332); Philip W. Woo (39,880); John T. Winburn (26,822); Tom Chen (42,406); Fabio E. Marino (43,339); William W. Holloway (26,182); Elaine H. Lo (41,158); Don C. Lawrence (31,975); Marc R. Ascolese (42,268); Carmen C. Cook (42,433); David G. Dolezal (41,711); Adrian J. Lee (42,785); Michael P. Noonan (42,038); Roberta P. Saxon (43,087); Bernice Chen (42,403); Mary Jo Bertani (42,321); Dale R. Cook (42,434); Kenneth Olsen (26,493); Matthew C. Rainey (32,291); Timothy J. Crean (37,116); Philip J. McKay (38,966); Robert S. Hauser (37,847); Patrick J.S. Inouye (40,297); Joseph T. FitzGerald (33,881); and Alexander E. Silverman (37,940).


Please address all correspondence and telephone calls to:

Michael Shenker
Attorney for Applicant(s)
SKJERVEN, MORRILL, MacPHERSON, FRANKLIN & FRIEL LLP
25 Metro Drive, Suite 700
San Jose, California 95110-1349

Telephone: 408-453-9200
Facsimile: 408-453-7979

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent and Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Full name of sole (or first joint) inventor: Jeffrey Meng Wah Chan

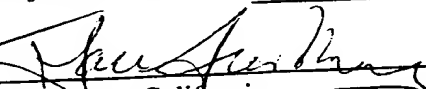
Inventor's Signature:  Date: 11/21/98

Residence: Mountain View, California

Post Office Address: 1984 Latham St. #10
Mountain View, CA 94040

Citizenship: Malaysia

Full name of sole (or first joint) inventor: Marc Tremblay

Inventor's Signature:  Date: 11/30/98

Residence: Palo Alto, California

Post Office Address: Menlo Park, CA 94025
801 Waverly Avenue, Apt. 3

Citizenship: Canada

Palo Alto, CA 94301

140 Hanna Way
Menlo Park, CA 94035

103280-2474560

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

| | | |
|------------------------------|----|----------------------------------|
| <u>Jeffrey Meng Wah Chan</u> | of | <u>Mountain View, California</u> |
| <u>Marc Tremblay</u> | of | <u>Palo Alto, California</u> |

hereby sell, assign and transfer to Sun Microsystems, Inc., a Delaware corporation, having a place of business at 901 San Antonio Road, Palo Alto, California 94043, its successors and assigns, the entire right, title and interest throughout the world in our invention in

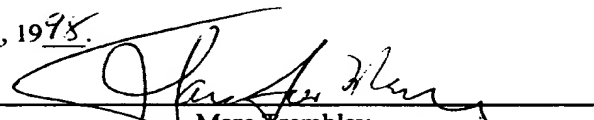
Execution of Instructions That Lock and Unlock Computer Resources

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 21 day of November, 1998.


Jeffrey Meng Wah Chan

Executed this 30 day of November, 1998.


Marc Tremblay

0594142-082801

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(a) Jeffrey Meng Wah Chan

(b) Marc Tremblay

(c)

Additional name(s) of conveying party(ies) attached?

☐ Yes

☐ No

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc. _____

Internal Address: _____

Street Address: 901 San Antonio Road _____

City Palo Alto _____ State CA _____ ZIP 94043 _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: November 21, 1998 and November 30,

1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 21, 1998 and November 30, 1998

A. Patent Application No.(s) -

Title: Execution of Instructions That Lock and Unlock
Computer Resources

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: _____

Internal Address: SKJERVEN, MORRILL, MacPHERSON,

FRANKLIN & FRIEL LLP

Street Address: 25 METRO DRIVE, SUITE 700

City SAN JOSE State CA ZIP 95110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$40.00

☒ Authorized to be charged to Deposit Account 19-2386

☒ Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael Shenker 34,250
Name of Person Signing

Michael Shenker
Signature

12-2-98
Date